

Northern Rivers Monthly Income and Capital  
Appreciation Trust Pool

Proxy Voting Disclosure

For the Period July 01, 2008 to April 30, 2009

Name of Issuer	CUSIP	Meeting date	Description Brief	Proposed By	Vote	Result
Home Equity Income Trust	43708B100	April 30,2009	Election of Trustees: Heather Briant	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Election of Trustees: Paul Damp	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Election of Trustees: Daniel Jauremrig	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Election of Trustees: Pierre Lebel	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Election of Trustees: Sydney McMorrان	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Election of Trustees: Steven Ranson	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Election of Trustees: Paula Roberts	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Election of Trustees: Gary Samuel	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Appointment of Ernst & Young LLP; Chartered Accounts, as Auditors	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Approving an amendment to the trust's amended and restated restricted unit plan dated May 4, 2007 (The "Restricted Unit Plan") to increase the number of units issuable under the restricted unit plan by 100,000 as set out in the restricted unit plan resolution attached as appendix "A" to the accompanying information circular of the trust dated March 20, 2009 (The "Information Circular")	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Approving the amendment to the trust's amended and restated deferred unit plan dated May 4,2007 (The "Deferred Unit Plan") to increase the number of units issuable under the plan by 350,000 as set out in the deferred unit plan resolution attached as appendix "B" to the information circular	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Approving the conversion of the trust to a corporate structure by way of plan of arrangement , as set out in the circular resolution attached as appendix "C" to the information circular	Management	Yes	For
Home Equity Income Trust	43708B100	April 30,2009	Provided the conversion resolution is approved , approving a shareholder rights plan as set out in the rights plan resolution attached as appendix "D" to the information circular	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: A.L. Boeckman	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: D.G. Cook	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: V.S. Martinez	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: M.F. Racicot	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: R.S. Roberts	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: M.K. Rose	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: M.J. Shapiro	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: J.C. Watts Jr.	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: R.H. West	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: J.S. Whisler	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Election of Director: E.E. Whitacre Jr.	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Ratification of the appointment of Pricewaterhousecoopers LLP as the company's independent auditor for 2009 (Advisory vote)	Management	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Proposal regarding say on executive pay	Shareholder	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Proposal regarding special shareowner meetings	Shareholder	Yes	For
Burlington Northern Santa Fe Corporation	121189T104	April 23,2009	Proposal regarding report on political contributions	Shareholder	Yes	For
Praxair Inc.	74005P104	April 28,2009	Directors recommend: A vote for election for the following nominees: 01- Stephan F Angel, 02-Nance K. Dicciani, 03-Edward G. Galante, 04-Claire W. Gargalli, 05-Ira D. Hall, 06-Raymond W. Lebeouf, 07-Larry D. McVay, 08-Wayne T.Smith, 09-H.Mitchell Watson, 10-Robert L. Wood	Management	Yes	For
Praxair Inc.	74005P104	April 28,2009	Proposal to approve the 2009 Praxair Inc. long term incentive plan	Management	Yes	For
Praxair Inc.	74005P104	April 28,2009	Propoal to ratify the appointment of the independent auditor	Management	Yes	For
Nestle S.A.	641069406	April 23,2009	Approval of the 2008 annual report, financial statements of Nestle S.A. and consolidated financial statements of the Nestle group	Management	Yes	For
Nestle S.A.	641069406	April 23,2009	Acceptance of the 2008 compensation report (Non-binding advisory vote)	Management	Yes	For
Nestle S.A.	641069406	April 23,2009	Release of the members of the board of directors and of the management	Management	Yes	For
Nestle S.A.	641069406	April 23,2009	Appropriation of profits resulting from the balance sheet of Nestle S.A. (Proposed dividend) for the financial year 2008	Management	Yes	For
Nestle S.A.	641069406	April 23,2009	Re-election to the board of directors of Mr. Daniel Borel	Management	Yes	For
Nestle S.A.	641069406	April 23,2009	Re-election to the board of directors of Ms. Carolina Muller-Mohl	Management	Yes	For
Nestle S.A.	641069406	April 23,2009	Election of the statutory auditors KPMG S.A.,Geneva Branch (For a term of one year)	Management	Yes	For
Nestle S.A.	641069406	April 23,2009	Capital reduction (By cancellation of shares)	Management	Yes	For

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Newalta Income Fund	65020R109	December 17,2008	The special resolution, the full text of which is set forth as appendix A to the accompanying management information circular (The "information circular"), to approve a plan of arrangement under section 193 of the business corporations act (Alberta) and all transactions contemplated thereby, all as more particularly set forth and described in the information circular	Management	Yes	For
Newalta Income Fund	65020R109	December 17,2008	The ordinary resolution, the full text of which is set out in the information circular, to approve the adoption of an incentive option plan for 1434518 Alberta Ltd., all as more particularly set forth and described in the accompanying information circular	Management	Yes	For
Newalta Income Fund	65020R109	December 17,2008	The ordinary resolution, the full text of which is set out in the information circular, to approve the adoption of the shareholders rights plan of 1434518 Alberta Ltd., all as more particularly set forth and described in the accompanying information circular	Management	Yes	For
Timberwest Forest Corp.	887147205	December 19,2008	The extraordinary resolution, in the form set out in schedule "A" to the enclosed information circular (The "extraordinary resolution"), approving amendments to the note indenture between the company and valiant trust company, as trustee, dated as of September 30,1998 and as amended (The "note indenture") to: (I) change the rate of interest on the notes from a fixed rate of 12% per annum to a variable rate of between 2% and 12% per annum to be set from time to time based on the company's distribuel cash; (II) reduce the period over which the company can defer payments of interest on the notes from 27 months to 18 months, and provide that the company may only exercise the deferral right in respect of interest payments for periods where the applicable interest rate on the notes is 2%; and (III) replace the company's current right to elect to pay interests on the notes by delivering common shares on preferred shares of the company with the right to elect to pay interest on the notes by delivering stapled units	Management	Yes	For
Siemens AG	826197501	January 27 2009	Appropriation of Net Income	Management	Yes	For
Siemens AG	826197501	January 27 2009	Ratification of managing board acts	Management	Yes	For
Siemens AG	826197501	January 27 2009	Ratification of supervisory board acts	Management	Yes	For
Siemens AG	826197501	January 27 2009	Appointment of independent auditors	Management	Yes	For
Siemens AG	826197501	January 27 2009	Acquisition and use of Siemens shares	Management	Yes	For
Siemens AG	826197501	January 27 2009	Use of equity derivatives	Management	Yes	For
Siemens AG	826197501	January 27 2009	Creation of authorized capital 2009	Management	Yes	For
Siemens AG	826197501	January 27 2009	Issue of convertible bonds and/or bonds with warrants; creation of conditional capital 2009	Management	Yes	For
Siemens AG	826197501	January 27 2009	Adjustment to supervisory board remuneration	Management	Yes	For
Siemens AG	826197501	January 27 2009	Amendments to articles of association with regard to elections	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: William E. Bennett	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Hugh J. Bolton	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: John L. Bragg	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: V. Edmund Clark	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Wendy K. Dobson	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Donna M. Hayes	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Henry H. Ketcham	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Pierre H. Lessard	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Brian M. Levitt	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Harold H. Mackay	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Irene R. Miller	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Nadir H. Mohamed	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Roger Phillips	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Wilbur J. Prezzano	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: William J. Ryan	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: Helen K. Sinclair	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Election of Directors: John M. Thompson	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Appointment of auditor named in the management proxy circular	Management	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Shareholder proposal A	Shareholder	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Shareholder proposal B	Shareholder	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Shareholder proposal C	Shareholder	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Shareholder proposal D	Shareholder	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Shareholder proposal E	Shareholder	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Shareholder proposal F	Shareholder	Yes	For
The Toronto Dominion Bank	891160509	April 2, 2009	Shareholder proposal G	Shareholder	Yes	For